

REPUBLIC STRATEGIC ADVISORY, INC.
BUSINESS CONTINUITY PLAN SUMMARY

Republic Strategic Advisory, Inc. participates in Private Placements. Our firm maintains a Business Continuity Plan that addresses the actions we will take in the event of a building, city-wide, or regional incident; including relocating registered representatives and operational personnel to pre-assigned alternate locations. Our Business Continuity Plan is tested and updated annually.

All Republic Strategic Advisory, Inc. alternate locations are equipped for resumption of business and tested at least annually. Our recovery time for business resumption, including those involving a relocation of personnel, is 24 to 48 hours. This recovery objective may be negatively affected by the unavailability of external resources and circumstances beyond our control.

In the event Republic Strategic Advisory, Inc. experiences a significant business interruption, we have a system in place to automatically route incoming telephone calls and facsimiles to an alternate location. Please contact us at (336) 661-4145 to reach your registered representative. If the main telephone number should fail, you may contact our Compliance Officer directly at (336) 345-8962 for assistance. If you are unable to reach our Compliance Officer, you may contact Ron Buck, President/CEO at (336) 486-7090.

This Business Continuity Plan Summary is provided to you in accordance with NASD Conduct Rule 3510(e). You have the right to request a copy of our firm's current Business Continuity Plan Summary at any time by calling us at (336) 661-4145.